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## NOTICE OF ALLOWANCE AND FEE(S) DUE

21691

7590

01/27/2006

CROMPTON SEAGER AND TUFTE, LLC 1221 NICOLLET AVENUE SUITE 800 MINNEAPOLIS, MN 55403-2420 EXAMINER

SCHAETZLE, KENNEDY

ART UNIT PAPER NUMBER

3766
DATE MAILED: 01/27/2006

| 1 | APPLICATION NO. FILING DATE 10/013,980 11/05/2001 |  | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|---|--|----------------------|---------------------|------------------|
|   |   |  | Gust H. Bardy        | 032580.0060.CIP     | 3720             |

TITLE OF INVENTION: SUBCUTANEOUS ELECTRODE WITH IMPROVED CONTACT SHAPE FOR TRANSTHORASIC CONDUCTION

| APPLN. TYPE    | SMALL ENTITY       | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |  |
|----------------|--------------------|-----------|-----------------|------------------|------------|--|
| nonprovisional | nonprovisional YES |           | \$300           | \$1000           | 04/27/2006 |  |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

## HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

| maintenance fee notifications   |   | in Dioca 1, of (a)   |  | orrespondence address  | , and or (b) murating a sept  | and the Addition to  |  |  |  |  |  |  |  |                    |
|---|---|--|--|--|---|--|--|--|--|--|--|--|--|--------------------|
| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)  21691 7590 01/27/2006  CROMPTON SEAGER AND TUFTE, LLC 1221 NICOLLET AVENUE SUITE 800 MINNEAPOLIS, MN 55403-2420 |   |  |  | Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.  Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. |   |  |  |  |  |  |  |  |  |                    |
|   |   |  |  |  |   |  |  |  |  |  |  |  |  | (Depositor's name) |
|   |   |  |  |  |   |  |  |  |  |  |  |  |  | (Signature)        |
|   |   |  |  |  | · -   | (Date)   |  |  |  |  |  |  |  |                    |
| APPLICATION NO.   | FILING DATE   | FI   | IRST NAMED INVEN   | TOR  | ATTORNEY DOCKET NO.   | CONFIRMATION NO.   |  |  |  |  |  |  |  |                    |
| 10/013,980  | 11/05/2001  |  | Gust H. Bardy  |  | 032580.0060.CIP   | 3720   |  |  |  |  |  |  |  |                    |
| TITLE OF INVENTION: SU  | JBCUTANEOUS ELECTR  | ODE WITH IMPRO   | OVED CONTACT S   | HAPE FOR TRANST  | HORASIC CONDUCTION  |  |  |  |  |  |  |  |  |                    |
| APPLN. TYPE   | SMALL ENTITY  | ISSUE FEE  | E PU   | JBLICATION FEE   | TOTAL FEE(S) DUE  | DATE DUE   |  |  |  |  |  |  |  |                    |
| nonprovisional  | YES   | \$700  |  | \$300  | \$1000  | 04/27/2006   |  |  |  |  |  |  |  |                    |
| EXAMI   | INER  | ART UNIT   | Γ CI   | ASS-SUBCLASS   | ]   |  |  |  |  |  |  |  |  |                    |
| SCHAETZLE   | , KENNEDY   | 3766   |  | 607-116000   |   |  |  |  |  |  |  |  |  |                    |
| "Fee Address" indicati<br>PTO/SB/47; Rev 03-02 o<br>Number is required.  3. ASSIGNEE NAME AND<br>PLEASE NOTE: Unless<br>recordation as set forth in  (A) NAME OF ASSIGNE                      | an assignee is identified be<br>37 CFR 3.11. Completion<br>SE   | e of a Customer  E PRINTED ON THE BOW, no assignee do of this form is NOT  (B)   | or agents OR, alte  (2) the name of a registered attorne; 2 registered pateni listed, no name wi  HE PATENT (print of ata will appear on t a substitute for filin  RESIDENCE: (CIT | single firm (having as y or agent) and the nan attorneys or agents. If II be printed.  or type)  the patent. If an assign g an assignment.  Y and STATE OR CO  | a member a 2 nes of up to no name is 3 nee is identified below, the d   |  |  |  |  |  |  |  |  |                    |
| Please check the appropriate  4a. The following fee(s) are e  |   | <del></del>  | Payment of Fee(s):   | U Individual U C   | orporation or other private gre   | oup entity  Government   |  |  |  |  |  |  |  |                    |
| Issue Fee   | ancioscu.   | _  | _′ '′  | nount of the fee(s) is er  | aclosed   |  |  |  |  |  |  |  |  |                    |
| ☐ Publication Fee (No small entity discount permitted)  |   |  | Payment by credit card. Form PTO-2038 is attached.   |  |   |  |  |  |  |  |  |  |  |                    |
| Advance Order - # of  | The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). |  |  |  |   |  |  |  |  |  |  |  |  |                    |
| 5. Change in Entity Status (  a. Applicant claims SM  | from status indicated above MALL ENTITY status. See   | e)   | _  |  | LL ENTITY status. See 37 C  | FR 1.27(g)(2).   |  |  |  |  |  |  |  |                    |
| The Director of the USPTO is NOTE: The Issue Fee and Pu interest as shown by the recon  | s requested to apply the Issublication Fee (if required) was of the United States Pate  | ne Fee and Publication vill not be accepted for and Trademark Control of the cont | on Fee (if any) or to<br>from anyone other to<br>Office.   | re-apply any previous<br>nan the applicant; a reg  | ly paid issue fee to the applications is tered attorney or agent; or the  | ation identified above.<br>he assignee or other party in   |  |  |  |  |  |  |  |                    |
| Authorized Signature  |   |  |  | Date   |   |  |  |  |  |  |  |  |  |                    |
| Typed or printed name   |   |  |  |  |   |  |  |  |  |  |  |  |  |                    |
| This collection of information an application. Confidentialit submitting the completed appropriate this form and/or suggestions Box 1450, Alexandria, Virgina 22313-1                         | n is required by 37 CFR 1.3<br>by is governed by 35 U.S.C<br>plication form to the USPT<br>for reducing this burden, sl<br>nia 22313-1450. DO NOT<br>1450.  | 11. The information<br>122 and 37 CFR 1.<br>O. Time will vary d<br>could be sent to the t<br>SEND FEES OR CO   | is required to obtain 14. This collection lepending upon the Chief Information COMPLETED FORM  | n or retain a benefit by<br>is estimated to take 12<br>individual case. Any co<br>officer, U.S. Patent and<br>IS TO THIS ADDRES  | the public which is to file (an<br>minutes to complete, includir<br>omments on the amount of ti<br>I Trademark Office, U.S. Dep<br>S. SEND TO: Commissioner | d by the USPTO to process) og gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, |  |  |  |  |  |  |  |                    |
| Under the Paperwork Reduct  | ion Act of 1995, no persons   | are required to resp   | ond to a collection o  | f information unless it  | displays a valid OMB control  | number.  |  |  |  |  |  |  |  |                    |



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

| APPLICATION NO. | APPLICATION NO. FILING DATE |           | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.    | CONFIRMATION NO.        |  |  |
|-----------------|-----------------------------|-----------|----------------------|------------------------|-------------------------|--|--|
| 10/013,980      | 11/05/200                   | 01        | Gust H. Bardy        | 032580.0060.CIP        | 3720                    |  |  |
| 21691           | 7590 01                     | /27/2006  | EXAMINER             |                        |                         |  |  |
| CROMPTON        | SEAGER AND                  | SCHAETZLI | SCHAETZLE, KENNEDY   |                        |                         |  |  |
| SUITE 800       | EI AVENUE                   | ART UNIT  | PAPER NUMBER         |                        |                         |  |  |
| MINNEAPOL       | IS, MN 55403-242            | 20        | 3766                 |                        |                         |  |  |
|                 |                             |           |                      | DATE MAILED: 01/27/200 | DATE MAILED: 01/27/2006 |  |  |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 430 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 430 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571) 272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.